



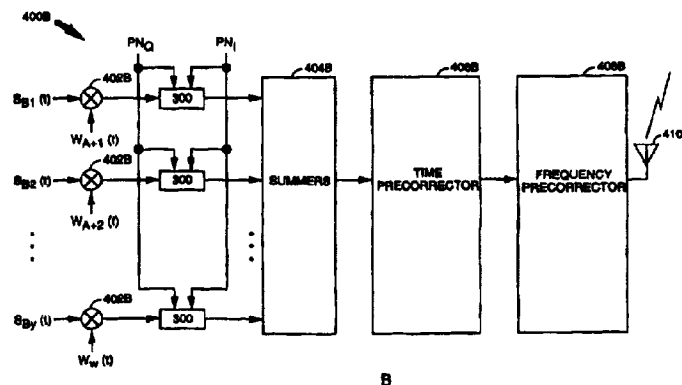
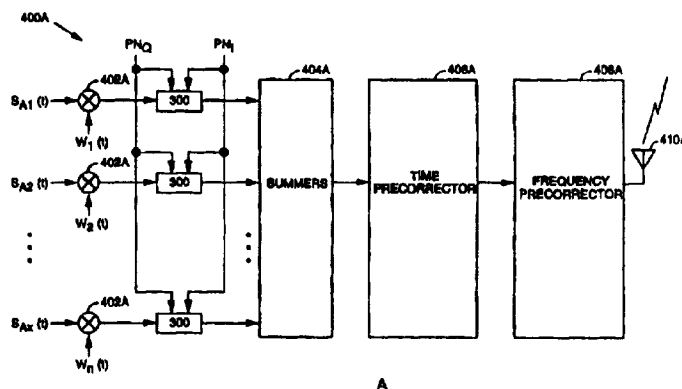
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H04J 11/00, H04B 7/216, 7/26</b>		<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 97/37456</b>
			<b>(43) International Publication Date:</b> 9 October 1997 (09.10.97)
<b>(21) International Application Number:</b> PCT/US97/05356			<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
<b>(22) International Filing Date:</b> 1 April 1997 (01.04.97)			
<b>(30) Priority Data:</b> 627,831 2 April 1996 (02.04.96) US			
<b>(71) Applicant:</b> QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).			
<b>(72) Inventors:</b> AGRAWAL, Avneesh; Apartment 37, 10623 Dabney Drive, San Diego, CA 92126 (US). BUTLER, Brian, K.; 10727 Calston Way, San Diego, CA 92126 (US).			
<b>(74) Agents:</b> MILLER, Russell, B. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).			<b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: USING ORTHOGONAL WAVEFORMS TO ENABLE MULTIPLE TRANSMITTERS TO SHARE A SINGLE CDM CHANNEL

## (57) Abstract

A system and method for enabling multiple transmitters (400) to share a single code division multiplexed (CDM) or code division multiple access (CDMA) channel using orthogonal waveforms. A set of orthogonal channelizing codes  $W_i(t)$  is generated, and each transmitter (400) is allocated orthogonal channelizing codes and pseudonoise polynomials in a predetermined manner. The transmitters channelize each user signal using an orthogonal channelizing code  $W_i(t)$ , and spread each user signal using a pseudonoise (PN) spreading code. Each transmitter employs the same PN spreading codes and time offsets. Additionally, no one orthogonal channelizing code is assigned to more than one transmitter during the time period they are sharing a CDM channel. The spread signals are summed at each transmitter (404) prior to transmission as a composite signal. The offsets are time-precorrected (406, 510) to ensure time alignment at the receivers. The frequencies of the signals are precorrected (408, 512) to ensure frequency alignment at the receivers.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## USING ORTHOGONAL WAVEFORMS TO ENABLE MULTIPLE TRANSMITTERS TO SHARE A SINGLE CDM CHANNEL

5

### BACKGROUND OF THE INVENTION

#### I. Field of the Invention

The present invention relates generally to spread spectrum communications systems, and more particularly to enabling multiple transmitters to share a single code division multiplexed (CDM) or code division multiple access (CDMA) channel, as a shared resource in such systems.

#### II. Description of the Related Art

In a code division multiplexed (CDM) system, signals intended for one or more recipients are transmitted from a single site using a single frequency band, or CDM channel, through the proper assignment of channelizing codes to create code channels. Such systems include, for example, paging systems, message or information broadcast systems, and positioning or position determination systems in which information is transferred to various targeted recipients. Some CDM systems, such as spread spectrum code division multiple access (CDMA) communication systems obtain code channels by assigning orthogonal channelizing codes, such as Walsh codes, or spreading codes with low correlation to each system user.

A variety of multiple access communication systems and techniques have been developed for transferring information among a large number of system users. However, spread spectrum modulation techniques, such as used in (CDMA) communication systems provide significant advantages over other modulation schemes, especially when providing service for a large number of communication system users. Such techniques are disclosed in the teachings of U. S. Patent No. 4,901,307, which issued February 13, 1990 under the title "*Spread Spectrum Multiple Access Communication System Using Satellite Or Terrestrial Repeaters*", and U. S. Patent Application Serial No. 08/368,570, filed under the title "*Method And Apparatus For Using Full Spectrum Transmitted Power In A Spread Spectrum Communication System For Tracking Individual Recipient Phase Time And Energy*," which are both assigned to the assignee of the present invention, and incorporated herein by reference.

The above-mentioned patents disclose multiple access communication systems in which a large number of generally mobile or remote system users each employ at least one transceiver to communicate with other system users or users of other connected systems, such as a public  
5 telephone switching network. The transceivers communicate through gateways and satellites, or terrestrial base stations (also sometimes referred to as cell-sites or cells).

Base stations cover cells, while satellites have footprints on the surface of the Earth. In either system, capacity gains can be achieved by  
10 sectoring, or subdividing, the geographical regions being covered. Cells can be divided into "sectors" by using directional antennas at the base station. Similarly, a satellite's footprint can be geographically divided into "beams", through the use of beam forming antenna systems. These techniques for subdividing a coverage region can be thought of as creating isolation using  
15 relative antenna directionality or space division multiplexing. In addition, provided there is available bandwidth, each of these subdivisions, either sectors or beams, can be assigned multiple CDMA channels through the use of frequency division multiplexing (FDM). In satellite systems each CDMA channel is referred to as a "sub-beam", because there may be several of these  
20 per "beam".

In a typical spread-spectrum communication system, one or more preselected pseudonoise (PN) code sequences are used to modulate or "spread" user information signals over a predetermined spectral band prior to modulation onto a carrier signal for transmission as communication  
25 signals. PN spreading, a method of spread-spectrum transmission that is well known in the art, produces a signal for transmission that has a bandwidth much greater than that of the data signal. In the base station- or gateway-to-user communication link, PN spreading codes or binary sequences are used to discriminate between signals transmitted by different  
30 base stations or over different beams, as well as between multipath signals. These codes are typically shared by all communication signals within a given cell or sub-beam.

In a typical CDMA spread-spectrum communication system, channelizing codes are used to discriminate between different users within a  
35 cell or between user signals transmitted within a satellite sub-beam on a forward link (i.e., the signal path from the base station or gateway to the user transceiver). That is, each user transceiver has its own orthogonal channel provided on the forward link by using a unique 'channelizing' orthogonal code. Walsh functions are generally used to implement the channelizing

codes, with a typical code length for the forward link being on the order of 64 code chips for terrestrial systems and 128 code chips for satellite systems.

In general, a CDMA satellite system makes an assignment of system resources to the many gateways. The simplest assignment scheme is to divide up resources at the resolution of whole CDMA channels, or sub-beams. The system assigns whole sub-beams of individual satellites to individual gateways for specified periods of time. However, when there are many more gateways than available sub-beams, the assignment of whole CDMA channels potentially becomes inefficient in using system resources. In such situations, it could prove useful to share a sub-beam between gateways. This increases the resolution of system resources available for assignment.

Therefore, it is desirable for multiple gateways to share one CDMA channel or sub-beam as a shared resource. However, according to conventional wisdom, the sharing of a CDMA or CDM channel by multiple transmitters results in signal interference at the receivers. It will be apparent to one skilled in the art that this discussion also applies to terrestrial (e.g., cellular) communications systems employing base stations rather than gateways, and several types of message or information broadcast systems.

What is needed, therefore, is a method for enabling multiple transmitters (e.g., gateways, base stations) to share a single CDM channel without the creation of interference.

## SUMMARY OF THE INVENTION

The present invention is a system and method for enabling multiple transmitters to share a single CDM, or CDMA, channel using orthogonal waveforms. Applicants have found that, contrary to conventional wisdom, multiple transmitters may share a single CDM channel using orthogonal waveforms when certain aspects of transmitter operation are constrained according to the present invention. Furthermore, according to conventional wisdom, it is impractical to control carrier wave phase from multiple transmitters so as to be aligned at one, or each of several, mobile receivers. Applicants have found that when the methodology of the present invention is employed, certain operating parameters such as relative carrier phase need not be controlled or adjusted over the period of interest. By requiring certain transmitter operating characteristics to be controlled, the present invention renders the sharing of a CDM channel by multiple transmitters quite practical and useful.

According to a preferred embodiment of the invention, each transmitter sharing a single CDMA channel is allocated a portion of a predefined set of Walsh codes, which are used to channelize the user information signals. Further, all of the sharing transmitters spread the channelized user signals using the same pseudonoise (PN) spreading code and offset. The transmitters may then share a single frequency band (CDM or CDMA channel) without mutual interference when the following transmitter operating constraints are observed: each transmitter employs the same PN spreading code or pair of quadrature PN code sequences and time offsets; time offsets are precorrected to ensure time alignment at the receiver; the frequencies of the signals are precorrected to ensure frequency alignment at the receiver; and no one orthogonal channelizing code is assigned to more than one transmitter, at a time.

One purpose of the preferred embodiment of the present invention is to permit multiple transmitters to share a single CDMA channel without creation of mutual interference.

The present invention likewise permits multiple transmitters to share a single CDM channel without creation of mutual interference.

An advantage of the present invention is that it improves the signal-to-noise ratio of the specific communication signals and the system.

Another advantage of the present invention is that it permits improved time and phase tracking for signals.

A further advantage of the present invention is that it permits improved frequency tracking.

Yet another advantage of the present invention is that it permits better signal pull-in during acquisition.

Another purpose of the present invention is to permit the use of multiple pilot signals for frequency tracking. Because each transmitter sharing a CDMA channel according to the present invention provides a pilot signal, multiple pilot signals are available at a receiver for use in frequency tracking. One advantage of the use of multiple pilot signals for frequency estimation is that this technique permits faster frequency pull-in. Another advantage of this technique is that it permits frequency tracking at lower signal-to-noise ratios. Yet another advantage of this technique is that it permits better overall demodulation performance in a fading channel; when one pilot signal is fading, its power can be supplemented by that of pilot signals from other transmitters to maintain carrier lock. Still another advantage of this technique is that it permits the use of a lower-power pilot signal.

Further features and advantages of the present invention, as well as the structure and operation of various embodiments of the present invention, will become more apparent from the detailed description set forth below with reference to the accompanying drawings.

5

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention is best understood by reference to the drawings wherein references with like reference numbers indicate identical or functionally similar elements. In addition, the left-most digit of the reference number refers to the figure in which the reference number first appears in the accompanying drawings.

FIG. 1 illustrates a typical multiple access communication system;  
FIG. 2a illustrates a circuit block diagram depicting a signal modulator  
of conventional design;

FIG. 2b illustrates a circuit block diagram depicting an alternative signal modulator of conventional design;

FIG. 3 illustrates a circuit block diagram depicting a QPSK spreader of conventional design;

FIG. 4 illustrates a circuit block diagram depicting a preferred embodiment of the present invention;

FIG. 5 illustrates a flowchart depicting the operation of a preferred embodiment of the present invention;

FIG. 6 illustrates a circuit block diagram of an automatic frequency control loop employing multiple pilot signals to obtain an estimate of the carrier frequency of a received QPSK signal; and

FIG. 7 illustrates a flowchart depicting the operation of the automatic frequency control loop of FIG. 6.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

### I. Introduction

The present invention is a system and method for enabling multiple transmitters to share a single CDM channel, or a single common broadband signal resource. A preferred embodiment is discussed. First, however, a number of aspects of the invention necessary to its understanding are discussed.

While specific steps, configurations and arrangements are discussed, it should be understood that this is done for illustrative purposes only. A person skilled in the relevant art will recognize that other steps, configurations and arrangements can be used without departing from the spirit and scope of the invention.

As described above, a typical CDMA wireless communication system employs at least one frequency band for the transmission of signals using spread-spectrum CDMA techniques; each frequency band is known as a CDMA Channel. Different CDMA Channels are used to transfer different communication signals to different sets of users. The CDMA Channels can also be reallocated to other systems for reuse under various Federal Communications Commission (FCC) plans, or separated by intermediate bands used by other services. The geographical coverage area for different CDMA channels can overlap partially or completely depending on the communication system design selected. Users can switch between CDMA channels for purposes of capacity, satellite coverage or position, signal strength, interference, and the like.

In a CDMA communication system, multiple users transmitting from a single site may share a single frequency band (CDMA channel) through proper assignment to each of orthogonal channelizing codes, such as Walsh codes. In a typical CDMA system, the available spectrum is divided into a number of frequency bands, each of which represents a CDMA channel. Then, each CDMA channel is parsed into a number of code channels by applying channelizing codes to the signals to be transmitted. Each code channel is a separate communications channel, capable of carrying voice, data, etc. In a preferred embodiment of the invention, each code channel within a CDMA channel has been created by modulating a data signal with a different Walsh code selected from one set of Walsh codes. An exemplary set of known codes are specified in the IS-95 system specification entitled *"Mobile Station-Base Station Compatibility Standard For Dual-Mode Wideband Spread Spectrum Cellular System,"* which is incorporated herein by reference. The resulting communication signals are mutually orthogonal.



## II. The Generation of Walsh Codes

One type of orthogonal channelizing code is the Walsh code, which is employed in a preferred embodiment of the present invention. A discussion of the generation and use of Walsh codes is found in U.S. Pat. No. 5,103,459 entitled *"System And Method For Generating Signal Waveforms In A CDMA Cellular Telephone System,"* U.S. Pat. No. 5,103,459 is assigned to the assignee of the present invention, and the disclosure of which is incorporated herein by reference. A short description is provided below for the reader's convenience.

It is well known in the art that a set of  $n$  orthogonal binary sequences, each of length  $n$ , for  $n$  being a power of 2, can be constructed. In fact, orthogonal binary sequence sets are also known for most lengths which are multiples of four and less than two hundred. One class of orthogonal binary sequences that are useful for the orthogonal channelizing codes, and also relatively easy to generate, is called Walsh functions. Walsh functions are derived from Walsh function matrices also known as Hadamard matrices. A Hadamard matrix of order  $n$  can be defined recursively as:

$$H_n = \begin{bmatrix} H_{n/2} & H_{n/2} \\ H_{n/2} & \overline{H_{n/2}} \end{bmatrix} \quad (1)$$

where  $\overline{H}$  denotes the additive inverse of  $H$ , and over the real field  $H_1 = 1$  (i.e.  $\overline{H_1} = -1$ ).

Therefore, the first two Hadamard matrices of orders 2 and 4 can be represented as:

$$H_2 = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \text{ and} \quad (2)$$

$$H_4 = \begin{bmatrix} H_2 & H_2 \\ H_2 & \overline{H_2} \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix} \quad (3)$$

A Walsh function,  $W_n$ , then, is simply one of the rows of a Walsh function matrix (Hadamard matrix), and a Walsh function matrix of order ' $n$ ' is a square matrix containing  $n$  functions or sequences, each being  $n$  chips (bits) in length.

A Walsh function of order  $n$  (as well as all other orthogonal functions) has the property that over the interval of  $n$  code symbols, the cross-correlation between all the different sequences within the set is zero, provided that the sequences are time aligned with each other. This can be  
5 seen by noting that every sequence differs from every other sequence in exactly half of its bits. It should also be noted that there is always one sequence containing all ones (real) and that all the other sequences contain half ones and half minus ones.

The above-described properties of Walsh codes make them useful in  
10 CDMA communications systems. As will be described below, when two user signals are modulated using two different Walsh sequences from the same set, respectively, the resulting signals do not mutually interfere.

### III. A Wireless Information System

15 As discussed above, the present invention could find use in a variety of wireless information and communication systems. Such systems include information broadcast systems such as typically used for paging or position determination. Other systems include, wireless communication systems, such as satellite and terrestrial cellular telephone systems. A preferred  
20 application is in CDMA spread spectrum communication systems for mobile or portable telephone service.

An exemplary wireless communication system in which the present invention is used, is illustrated in FIG. 1. The portion of a communication system 110 illustrated in FIG. 1 uses two base stations 112 and 114, one  
25 satellite 116, and two associated gateways or hubs 120 and 122. These elements of the communication system are shown establishing communications with two subscriber units 124 and 126. Typically the base stations and satellites/gateways are components of separate communication systems, terrestrial and satellite based, but this is not necessary.

30 Subscriber units 124 and 126 each have or comprise a wireless communication device such as, but not limited to, a cellular telephone, a data transceiver, or a paging or position determination receiver, and can be hand-held or vehicle mounted as desired. Here, the subscriber units are illustrated as handheld telephones. However, it is also understood that the  
35 teachings of the invention are applicable to fixed units where remote wireless service is desired, including 'inside' as well as 'open air' locations.

Generally, multiple beams from satellite 116 at different frequencies, also referred to as CDMA channels or 'sub-beams', can be directed to overlap the same region. It is also readily understood by those skilled in the art that

beam coverage or service areas for multiple satellites, or antenna patterns for multiple base stations, might be designed to overlap completely or partially in a given region depending on the communication system design and the type of service being offered, and whether space diversity is being  
5 achieved.

A variety of multi-satellite communication systems have been proposed, such as using orbital planes in Low Earth Orbit (LEO), for servicing a large number of subscriber units. Those skilled in the art will readily understand how the teachings of the present invention are applicable to a  
10 variety of satellite system and gateway configurations, including other orbital distances and constellations. At the same time the invention is equally applicable to terrestrial based systems of various base station configurations.

Some possible signal paths are illustrated in FIG. 1 for  
15 communications occurring between subscriber units 124 and 126 and base stations 112 and 114, or through satellite 116 with gateways 120 and 122. The base station-subscriber unit communication links are illustrated by lines 130, 132, 134, and 136. The gateway-satellite communication links, between gateways 120 and 122, and satellite 116, are illustrated by lines 140 and 142,  
20 respectively. The satellite-subscriber unit communication links between satellite 116 and subscriber units 124 and 126, are illustrated by lines 144 and 146, respectively.

As stated above, gateways 120 and 122, and base stations 112 and 114, may be used as part of one or two-way communication systems or simply to  
25 transfer messages or data to subscriber units 124 and 126. In either case, gateways 120 and 122, or base stations 112 and 114, might want to share the same CDM or CDMA channels. This is especially true where base stations 112 and 114 are located close to each other, or where gateways 120 and 122 currently have uneven demands on resources, or have messages for  
30 common groups of users.

#### IV. Spreading and Covering

Before information signals are transferred to system subscribers, they  
35 are first digitized, as necessary, and encoded and interleaved as desired, to create a basic digital communication signal. These operations use techniques well known in the art. Signals addressed to specific users are also modulated by a distinct orthogonal function or code spreading sequence assigned to that user's forward link. That is, a unique covering orthogonal

code, typically a Walsh code, is used to distinguish between different users or subscriber signals within a cell or beam. This coding on the forward link of a given carrier frequency produces subscriber signals also referred to as channels. Such orthogonal functions are sometimes referred to as  
5 channelizing codes.

A block diagram of exemplary transmitter circuitry for implementing covering and spreading of data signals is illustrated in FIGS. 2a and 2b. A transmission modulator 200 in FIG. 2a uses a first multiplier 202, a second multiplier 204, an orthogonal code or function generator 206, and a PN  
10 generator 208. Alternatively, as explained below, modulator 200 can employ a multiplier 210. Transmission modulator 200 receives data or previously encoded data symbols and orthogonally encodes or covers them with an assigned orthogonal code sequence, Walsh code, and then spreads the covered data before transmission.

Referring now to FIG. 2a, an information signal  $S(t)$  is channelized through multiplication with a Walsh function  $W(t)$ . An orthogonal function or Walsh code generator 206 generates the orthogonal covering code desired for channelizing the signal, using apparatus known in the art. The code  $W_i(t)$  from generator 206 is multiplied by or combined with the  
20 symbol data in a logic element 202, which is generally a multiplier. In the exemplary embodiment, the orthogonal function is typically clocked in at a rate of 1.2288 MHz, although other known rates can be used.

The orthogonally covered data signal  $S(t)W(t)$  output by multiplier 202 is input to logic element or multiplier 204 which multiplies the signal by  
25 a PN spreading code. The resulting PN spread and orthogonally encoded output signal is then typically bandpass filtered, transferred to appropriate power control and amplification circuitry, and modulated onto an RF carrier. Alternatively, the PN spreading and orthogonal channelizing codes can be multiplied together or combined before they are combined with the  
30 data. This is illustrated in FIG. 2b where a transmission modulator 201 has the outputs of orthogonal code generator 206 and PN generator 208 transferred to a multiplier 210. Multiplier 210 produces a combined code which is then combined with the data signal  $S(t)W(t)$ , again using multiplier 204.

35 The resulting signals may be further amplified and filtered before being summed with other forward link signals and radiated by an antenna. The filtering, amplification, and modulation operations are well understood in the art. As is known, alternate embodiments may interchange the order of some of these operations for forming a transmitted signal. Additional

details on the operation of this type of transmission apparatus are found in U. S. Patent No. 5,103,459, mentioned above.

PN generator 208 generates one or more different PN spreading codes for use in this process. This generator could be time shared among several transmitters using appropriate interface elements. An exemplary generation circuit for these sequences is disclosed in U. S. Patent No. 5,228,054 entitled *"Power Of Two Length Pseudo-Noise Sequence Generator With Fast Offset Adjustments,"* issued July 13, 1993, and assigned to the assignee of the present invention, and incorporated herein by reference. Alternatively, the PN codes can be pre-stored in memory elements such as a ROM or RAM circuit. PN generator 208 may output a real-valued or complex-valued sequence, as desired. These PN spreading codes can also be the same code applied 90° out of phase in some applications.

Each PN sequence consists of a series of 'chips' occurring over a preselected PN code period at a frequency much higher than the baseband communication signal being spread. A typical chip rate is around 1.2288 MHz with a PN code sequence length or period of 1024 chips. However, this code length may be adjusted to increase code separation, or decrease searching times, as would be apparent to those skilled in the art. Each system design specifies the distribution of PN spreading codes within a communication system according to factors understood in the art.

A known clock source is used to provide timing information, and time offsets or offset values are typically provided by one or more control processors to affect the timing of these operations.

## V. A QPSK Spreader

A preferred embodiment of the invention hereinafter described employs a quadriphase-shift keying (QPSK) spreader of conventional design. After reading the following discussion, it will be apparent to a person skilled in the relevant art how other spreading schemes could be employed in the present invention. A block diagram of a QPSK spreader is illustrated in FIG. 3. QPSK spreader 300 is comprised of first and second in-phase multipliers 302 and 304, first and second quadrature multipliers 306 and 308, two filters 310 and 312, and a summation element or summer 314. Two PN generators 316 and 318 are used to provide in-phase and quadrature spreading codes,  $PN_I$  and  $PN_Q$ , respectively, which are the same as PN generator 208 described above.

Referring now to FIG. 3, an information signal  $S(t)$  has been channelized through multiplication with a Walsh function  $W(t)$  to yield a channelized information signal  $S(t)W(t)$ . Channelized information signal  $S(t)W(t)$  is applied to one input each of multipliers 302 and 306. Generally, the same data is input to both multipliers and subjected to combination with or modulation by the individual codes. Multiplier 302 multiplies input signal  $S(t)W(t)$  by an in-phase PN code  $PN_I$ , from PN generator 216. The resulting signal is then filtered by filter 310, a filter of conventional design, which is typically employed to provide pulse shaping, to contain the bandwidth of the transmitted signal. The filtered signal is then applied to multiplier 304, where it is multiplied by in-phase carrier signal  $\cos(\omega t)$ . Similarly, multiplier 306 multiplies input signal  $S(t)W(t)$  by quadrature PN code  $PN_Q$ , from PN generator 218. The resulting signal is then filtered by filter 312 and applied to multiplier 308, where it is multiplied by quadrature carrier signal  $\sin(\omega t)$ . As will be apparent to one skilled in the relevant art, other waveforms can be used as carrier signals. The resulting in-phase and quadrature components are then summed by summer 314 to produce QPSK-spread signal  $M(t)$ , which may be further amplified and filtered before being summed with other forward link signals and radiated by an antenna, as before.

#### IV. An Embodiment of the Present Invention

Prior to the present invention, it was thought that multiple transmitters could not share a single CDM channel by sharing a set of orthogonal channelizing codes. It was further thought that to realize channel sharing, the respective carrier phases of the transmitted signals would have to be aligned at the receiver.

Unfortunately, such coordinated precorrection of carrier phases from multiple transmitters at geographically distributed sites is not considered technically feasible at the carrier frequencies of interest. As described below, Applicants found that, contrary to conventional wisdom, multiple transmitters may share a single CDM channel using orthogonal channelizing codes even though the respective transmitter carrier phases are not aligned upon reception. The transmitters' signals remain mutually orthogonal, regardless of carrier phase, under certain circumstances.

The reasons for the irrelevance of carrier phase are best described by example. Consider two transmitters, Transmitter X and Transmitter Y, as in base stations 112 and 114 or gateways 120 and 122, each generating basic

carrier waveforms having phase 'x' and 'y', respectively. Transmitter X channelizes a data signal  $S_x$  using Walsh function  $W_x(i)$  and modulates the carrier to produce a transmitted signal  $T_x(i)$ , where  $i$  represents the chip number in the Walsh sequence; in this example,  $i$  ranges in value from 0 to 127. Transmitter Y channelizes a data signal  $S_y$  using Walsh function  $W_y(i)$  and modulates its carrier to produce a transmitted signal  $T_y(i)$ . Therefore, the transmitted signals can be represented as:

$$T_x(i) = S_x W_x(i) e^{j\phi_x} \quad (4)$$

10 and

$$T_y(i) = S_y W_y(i) e^{j\phi_y} \quad (5)$$

Both transmitted signals are received by a Receiver X (124, 126) and uncovered or de-channelized using the Walsh function  $W_x(i)$ . It is assumed that with frequency precorrection, any relative difference in signal phase for the arriving signals are substantially constant. That is, while the phases may differ, they remain relatively constant over the Walsh function period being used. Because the product of a Walsh sequence with the same Walsh sequence is a unity sequence, the result for signal  $T_x$  is given by the relationship:

$$\sum_{i=0}^{127} T_x(i) W_x(i) = \sum_{i=0}^{127} S_x W_x(i) W_x(i) e^{j\phi_x} = S_x e^{j\phi_x} \sum_{i=0}^{127} (1) = 128 S_x e^{j\phi_x} \quad (6)$$

which is the desired data signal. Because the product of a Walsh sequence with another Walsh sequence from the same set is zero, the result for signal  $T_y$  is given by the relationship:

$$\sum_{i=0}^{127} T_y(i) W_x(i) = \sum_{i=0}^{127} S_y W_x(i) W_y(i) e^{j\phi_y} = S_y e^{j\phi_y} \sum_{i=0}^{127} W_x(i) W_y(i) = S_y e^{j\phi_y} * (0) = 0 \quad (7)$$

resulting in no interference. Thus, the carrier phase is irrelevant when the above-described conditions are met and the frequency alignment does not vary over the short period of the Walsh functions.

According to a preferred embodiment of the invention, each transmitter employs the same pair of quadrature PN spreading codes or sequences and offsets. (A PN code offset is a predetermined delay between a reference time and the start time of the PN code sequence.) Additionally, no

one orthogonal channelizing code is assigned to more than one transmitter during the time period they are sharing a CDMA channel. The offsets are time-precorrected to ensure time alignment at the receiver. The frequencies of the signals are precorrected to ensure frequency alignment at the receiver.

5       A circuit block diagram illustrating a preferred embodiment of the present invention is shown in FIG. 4. FIG. 4 presents a simple application of the invention, where only two transmitters, transmitter 400A and transmitter 400B, share a single CDMA channel. According to a preferred embodiment, one predefined set of Walsh codes is divided among the  
10       sharing transmitters. This is depicted in FIG. 4, which shows Walsh codes  $W_1(t) - W_n(t)$  allocated to transmitter 400A and Walsh codes  $W_{n+1}(t) - W_w(t)$  allocated to transmitter 400B, where "w" is the total number of Walsh codes in the set.

      It should be readily apparent to those skilled in the art that the Walsh  
15       functions need not be assigned or grouped in a strictly consecutive serial order but can be assigned using other assignment patterns as desired. That is, the present invention does not require say Walsh functions 1-16 to be assigned to one transmitter while Walsh functions 17-32 are assigned to another transmitter as continuous 'blocks' or sequences (1 to n and n+1 to  
20       w). For example, Walsh functions 1, 3, 5, . . . 31 could be assigned to one transmitter while another receives Walsh functions 2, 4, 6, . . . 32 for use. The functions can be assigned as small groups or alternating sequences or using other known patterns. Any variety of groupings, combinations, or ordering of Walsh functions may be used as long as the respective  
25       transmitters are not using common Walsh functions at the same time on the same CDM channel.

      An example of how such assignments work is shown for a preferred embodiment illustrated in Table I below. In the illustrated assignment scheme, two gateways, labeled as a first gateway (GW) and a second gateway  
30       (GW), share a common beam and frequency in a CDMA spread spectrum communication system. Designated functions for a particular set of nine channels are listed along with their respective Walsh function assignments.



TABLE I

Channel	First GW	Second GW
Pilot	0	1
Synchronization	32	33
Paging 1	64	65
Paging 2	2	3
Paging 3	66	67
Paging 4	4	5
Paging 5	68	69
Paging 6	6	7
Paging 7	70	71

5 In this specification, the preferred embodiment is described as having two transmitters and one receiver. It will be apparent to one skilled in the relevant art that the principle of the present invention can be extended to enable multiple transmitters and multiple receivers to share a single CDMA channel. Further, it will be apparent to one skilled in the art that the receivers can be replaced by repeaters (e.g., satellite transponders, terrestrial repeaters, etc.) and that the time and frequency precorrection of the present invention can be performed by either the transmitter or the repeater. For example, time and frequency precorrection could be performed for a group of users by sharing a single transponder in a satellite, or repeater, and precorrecting the signal up to the point of transmission by the transponder.

10 In this specification, the present invention is described with respect to signal transmission. As will be apparent to one skilled in the relevant art, a variety of receivers may be employed with the present invention. A typical receiver is disclosed in U.S. Patent No. 5,103,459 entitled "*System and Method for Forming Signal Waveforms in a CDMA Cellular Telephone System*," assigned to the assignee of the present invention, and incorporated herein by reference.

15 Further according to a preferred embodiment, the same PN polynomial and offset are allocated to each sharing transmitter. This is depicted in FIG. 4, which shows one quadrature pair of PN sequences PN<sub>Q</sub> and PN<sub>I</sub> allocated to both transmitter 400A and transmitter 400B.

25 Referring to FIG. 4, the transmitters comprise multipliers 402A, 402B, QPSK spreaders 300, summers 404A, 404B, time precorrectors 406A, 406B, frequency precorrectors 408A, 408B, and antennas 410A, 410B.

A flowchart depicting the operation of a preferred embodiment of the present invention is illustrated in FIG. 5. Now, a preferred embodiment of the present invention is described in detail with reference to FIGS. 4 and 5.

Referring to FIG. 5, in a step 502, a number of user signals exist at multiple transmitters which must share a single CDMA channel. The user signals may be voice, data, etc. These signals are represented in FIG. 4 as  $S_{A1}$  -  $S_{AX}$  at transmitter 400A and  $S_{B1}$  -  $S_{BY}$  at transmitter 400B. In a step 504, each user signal is multiplied with a different Walsh code sequence by multipliers 402A and 402B. No two user signals  $S_{A1}$  -  $S_{AX}$  and  $S_{B1}$  -  $S_{BY}$  are multiplied by the same Walsh code sequence. The Walsh codes are depicted in FIG. 4 as  $W_1(t)$  -  $W_n(t)$  allocated to transmitter 400A and  $W_{n+1}(t)$  -  $W_w(t)$  allocated to transmitter 400B.

Next, in a step 506, the output of each multiplier 402A, 402B is QPSK spread by one or more QPSK spreaders 300 using the same pair of quadrature PN polynomials and offsets. The operation of QPSK spreader 300 is described in Section III above. Then, in a step 508 the resulting Walsh-coded, QPSK-spread signals are summed at each transmitter by summers 404A and 404B respectively. In a step 510, the composite signals are time-precorrected by time precorrectors 406A, 406B, respectively, to ensure that the PN offsets of the composite signals emanating from the transmitters are time-aligned at the receiver or receivers for which reception is desired. As described above, transmitters 400A, 400B are generally located in base stations or gateways, and the approximate distances to the various receivers/transponders are known; thus the required timing precorrections can easily be calculated.

In a step 512, the time-precorrected composite signals are frequency-precorrected by frequency precorrectors 408A, 408B to ensure that the composite signals emanating from the transmitters are frequency-aligned at the receiver or receivers. In a step 514, the composite signals are ready for transmission via antennas 410A, 410B.

After reading the above description, it will become apparent to a person skilled in the relevant art how to implement the invention using other alternative embodiments.

## V. Frequency Estimation Using Multiple Pilot Signals

In a CDMA receiver, the frequency of a transmitter's carrier is generally estimated using the pilot signal of a single transmitter occupying the CDMA channel. It is generally desirable to minimize the power of a

transmitted signal. However, the difficulty of frequency tracking in CDMA systems may be exacerbated by the use of low-power pilot signals. A feature of the present invention is that it permits the use of the multiple pilot signals of the plurality of transmitters sharing the CDMA channel to  
5 estimate the transmitters' carrier frequency. (As noted above, the carrier frequencies of the transmitters sharing the CDMA channel are aligned. In addition, because the carrier phases of the sharing transmitters are not required to be aligned, each transmitter transmits a separate pilot signal to enable coherent demodulation.)

10 A circuit block diagram of an automatic frequency control loop 600 employing multiple pilot signals to obtain an estimate of the carrier frequency of a received QPSK signal is shown in FIG. 6. The circuit in FIG. 6 comprises an antenna 602, a rotator 604, a PN despreader 606, a Walsh demultiplexer 608, coherent pilot filters 610 (610A-610N), frequency error  
15 signal generators 612 (612A-612N), a summer 614, a loop filter 616, and a voltage-controlled oscillator (VCO) 618.

A flowchart depicting the operation of automatic frequency control loop 600 is shown in FIG. 7. Now, the operation of automatic frequency control loop 600 is described in detail with reference to FIGS. 6 and 7.

20 Referring to FIG. 7, in a step 702 the composite signal, comprising signals transmitted from multiple transmitters sharing a CDMA channel, is received at antenna 602. In a step 704, rotator 604 downconverts the received composite signal to baseband. In a step 706, the baseband signal is despread using a PN code at an appropriate time offset by PN despreader 606. In a step  
25 708, the despread baseband signal is demultiplexed into separate Walsh channels, A through N, by Walsh demultiplexer 608. Among the resulting Walsh channels is one pilot channel for each transmitter sharing the CDMA channel. In a step 710, each pilot channel is filtered by coherent pilot filters 610A-N, which may include an integrate-and-dump function.

30 In a step 712, each error signal generator 612A-N calculates a term proportional to the frequency error for each pilot signal. In an exemplary or preferred embodiment, the frequency error signal is calculated by taking the cross product between vectors representing the current sample of the pilot signal and the previous sample of the pilot signal, for both in-phase, I, and  
35 quadrature, Q, channels. For a current pilot sample of  $I_k$ ,  $Q_k$  and a previous pilot sample  $I_{k-1}$ ,  $Q_{k-1}$  the resulting frequency error is given by  $I_{k-1}Q_k - Q_{k-1}I_k$ . The error signal may be positive or negative; an error signal of zero indicates no frequency error.

In a step 714, the frequency error signals for all of the pilot signals are combined by a summation element or summer 614. In a step 716, the composite error signal is filtered by loop filter 616. In a step 718, the filtered error signal is converted to a phase estimate by VCO 618. In a step 720, the  
5 phase estimate is applied to rotator 604 to adjust the phase of the received composite signal.

## VI. Conclusion

10 While various embodiments of the present invention have been described above, it should be understood that they have been presented by way of example only, and not limitation. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the  
15 following claims and their equivalents.

What we claim as our invention is:

## CLAIMS

1. A method for enabling a plurality of transmitters to share a single  
2 CDM channel in a CDM communications system having a plurality of  
transmitters, each transmitter having at least one communications channel  
4 for transmitting a data signal, comprising the steps of:  
assigning a predefined set of orthogonal channelizing codes to the  
6 plurality of transmitters in a predetermined manner; and  
channelizing each of said data signals using one of said orthogonal  
8 channelizing codes to produce a channelized data signal.
2. The method of claim 1, wherein said orthogonal channelizing  
2 codes are Walsh functions.
3. The method of claim 2, wherein no one Walsh function is  
2 assigned to more than one transmitter, at a time.
4. The method of claim 3, further comprising the step of spreading  
2 said channelized data signals using at least one PN code to produce a spread  
user signal.
5. The method of claim 4, wherein one PN spreading code is  
2 assigned to the plurality of transmitters.
6. The method of claim 5, further comprising the step of summing  
2 said spread data signals prior to transmission to produce a composite signal.
7. The method of claim 6, wherein said composite signal of each  
2 transmitter is precorrected prior to transmission such that said PN spreading  
codes of said composite signals are time aligned upon reception.
8. The method of claim 7, wherein the composite signal of each  
2 transmitter is precorrected prior to transmission such that said composite  
signals are frequency-aligned upon reception.
9. The method of claim 1 wherein said CDM communications  
2 system comprises a wireless spread spectrum CDMA communications  
system with each data signal being a user signal, and said step of

- 4 channelizing comprises channelizing each of said user signals using one of  
said orthogonal channelizing codes to produce a channelized user signal.

10. A CDM communications system having multiple transmitters,  
2 each transmitting at least one data signal sharing a single CDM channel,  
each transmitter comprising:

4 at least one signal processing path carrying one of said data signals;  
and

6 multiplier means for combining each data signal with a different  
orthogonal channelizing code.

11. The system of claim 10, wherein said orthogonal channelizing  
2 codes are Walsh functions.

12. The system of claim 11, wherein no Walsh function is assigned  
2 to more than one transmitter over a common operating period of time.

13. The system of claim 12, wherein each of said signal processing  
2 paths further comprises a spreader, coupled to said multiplier means, for  
spreading the signal produced by said multiplier means using at least one  
4 PN spreading code.

14. The system of claim 13, wherein one PN code is assigned to the  
2 plurality of transmitters.

15. The system of claim 14, further comprising a summer, coupled to  
2 said signal processing paths, for summing the signals produced by said signal  
processing paths at each transmitter prior to transmission.

16. The system of claim 15, further comprising a time precorrector,  
2 coupled to said summer, for precorrecting the signal produced by said  
summer such that the PN codes of the transmitted signals of the plurality of  
4 transmitters are time aligned upon reception.

17. The system of claim 16, further comprising a frequency  
2 precorrector, coupled to said time precorrector, for precorrecting the signal  
produced by said time precorrector such that the carrier frequencies of the  
4 transmitted signals of the plurality of transmitters are aligned upon  
reception.

18. The system of claim 13, wherein said spreader is a QPSK spreader  
2 and said at least one PN code comprises a pair of quadrature PN spreading  
codes.

19. The system of claim 10 wherein said CDM communications  
2 system comprises a wireless spread spectrum CDMA with each of said data  
signals being a user signal, wherein said at least one signal processing path  
4 carries one of said user signals, and said multiplier means combines each  
user signal with a different orthogonal channelizing code.

20. A CDM communications system having multiple transmitters,  
2 each transmitting at least one data signal sharing a single CDM channel,  
comprising a plurality of transmitters, each transmitter comprising:  
4 means for assigning a set of orthogonal channelizing codes to the  
plurality of transmitters in a predetermined manner; and  
6 means for channelizing each of said data signals using one of said  
orthogonal channelizing codes to produce a channelized data signal.

21. The system of claim 20, wherein said orthogonal channelizing  
2 codes are Walsh functions.

22. The system of claim 21, wherein no one Walsh function is  
2 assigned to more than one transmitter, at a time.

23. The system of claim 22, further comprising means for spreading  
2 said channelized signal using at least one PN spreading code to produce a  
spread signal.

24. The system of claim 23, wherein one PN code is assigned to the  
2 plurality of transmitters.

25. The system of claim 24, further comprising means for summing  
2 said spread signals prior to transmission to produce a composite signal.

26. The system of claim 25, further comprising means for  
2 precorrecting said composite signal of each transmitter prior to transmission  
such that said PN codes of said composite signals are time aligned on  
4 reception.

27. The system of claim 26, further comprising means for  
2 precorrecting said composite signal of each transmitter prior to transmission  
such that said composite signals are frequency-aligned upon reception.

28. The system of claim 20, wherein said communications system is  
2 a CDMA spread spectrum communications system and said data signals are  
user signals sharing a single CDMA channel, wherein said means for  
4 channelizing comprises means for channelizing each of said user signals  
using one of said orthogonal channelizing codes to produce a channelized  
6 user signal.

29. The communications system of claim 20 further comprising:  
2 means for receiving at least two user signals sharing a single channel  
as a combined signal;  
4 means for despreading said received signals with respect to at least  
one predetermined PN spreading code;  
6 means for demultiplexing said composite signals into a plurality of  
individual data signals with respect to preselected orthogonal channelizing  
8 codes;  
means for coherently filtering out each of at least two pilot signals  
10 corresponding to said individual data signals;  
means for generating an error signal from each of said pilot signals;  
12 and  
means for summing resulting error signals.

30. The communications system of claim 29 further comprising:  
2 rotation means for downconverting received spread spectrum signals  
to a baseband frequency prior to demultiplexing;  
4 means for filtering summed resulting error signals; and  
means for adjusting operation of said rotation means in response to  
6 filtered summed error signals.

31. The communications system of claim 29 wherein said means for  
2 generating an error signal comprises means for forming a cross product  
between current samples of each pilot signal and previous samples thereof.

32. The method of Claim 1 further comprising the steps of:



- 2 receiving at least two user signals sharing a single channel as a  
combined signal;
- 4 despread said received signals with respect to at least one  
predetermined PN spreading code;
- 6 demultiplexing said composite signals into a plurality of individual  
data signals with respect to preselected orthogonal channelizing codes;
- 8 coherently filtering out each of at least two pilot signals corresponding  
to said individual signals;
- 10 generating an error signal from each of said filter pilot signals; and  
summing resulting error signals.

33. The method of claim 32 further comprising the steps of:

- 2 downconverting received spread spectrum signals to a baseband  
frequency by rotation prior to demultiplexing;
- 4 filtering summed resulting error signals; and  
adjusting said downconverting in response to filtered summed error  
6 signals.

34. The method of claim 33 wherein said step of generating an error  
2 signal comprises forming a cross product between current samples of each  
pilot signal and previous samples thereof.

35. A method for automatically controlling frequency in a CDM  
2 communications system with a plurality of transmitters sharing a single  
CDM channel, each transmitter having at least one communications  
4 channel for transmitting a data signal, comprising the steps of:

- assigning a predefined set of orthogonal channelizing codes to the  
6 plurality of transmitters in a predetermined manner;
- channelizing each of said data signals using one of said orthogonal  
8 channelizing codes to produce a channelized data signal;
- receiving at least two user signals sharing a single channel as a  
10 combined signal;
- despread said received signals with respect to at least one  
12 predetermined PN spreading code;
- demultiplexing said composite signals into a plurality of individual  
14 data signals with respect to preselected user orthogonal codes;
- coherently filtering out each of at least two pilot signals corresponding  
16 to said individual signals;
- generating an error signal from each of said filter pilot signals; and

18           summing resulting error signals.

36. The method of claim 35 further comprising the steps of:  
2           downconverting received spread spectrum signals to a baseband  
frequency by rotation prior to demultiplexing;  
4           filtering summed resulting error signals; and  
adjusting said downconverting in response to filtered summed error  
6 signals.

37. Apparatus for automatic frequency control in a CDM  
2 communications system having multiple transmitters sharing a single CDM  
channel which each transmit at least one data signal, comprising:  
4           means for assigning a set of orthogonal channelizing codes to the  
plurality of transmitters in a predetermined manner;  
6           means for channelizing each of said data signals using one of said  
orthogonal channelizing codes to produce a channelized user signal;  
8           means for receiving at least two user signals sharing a single channel  
as a combined signal;  
10          means for despreading said received signals with respect to at least  
one predetermined PN spreading code;  
12          means for demultiplexing said composite signals into a plurality said  
individual data signals with respect to preselected orthogonal channelizing  
14 codes;  
            means for coherently filtering out each of at least two pilot signals  
16 corresponding to said individual data signals;  
            means for generating an error signal from each of said pilot signals;  
18 and  
            means for summing resulting error signals.

1/8

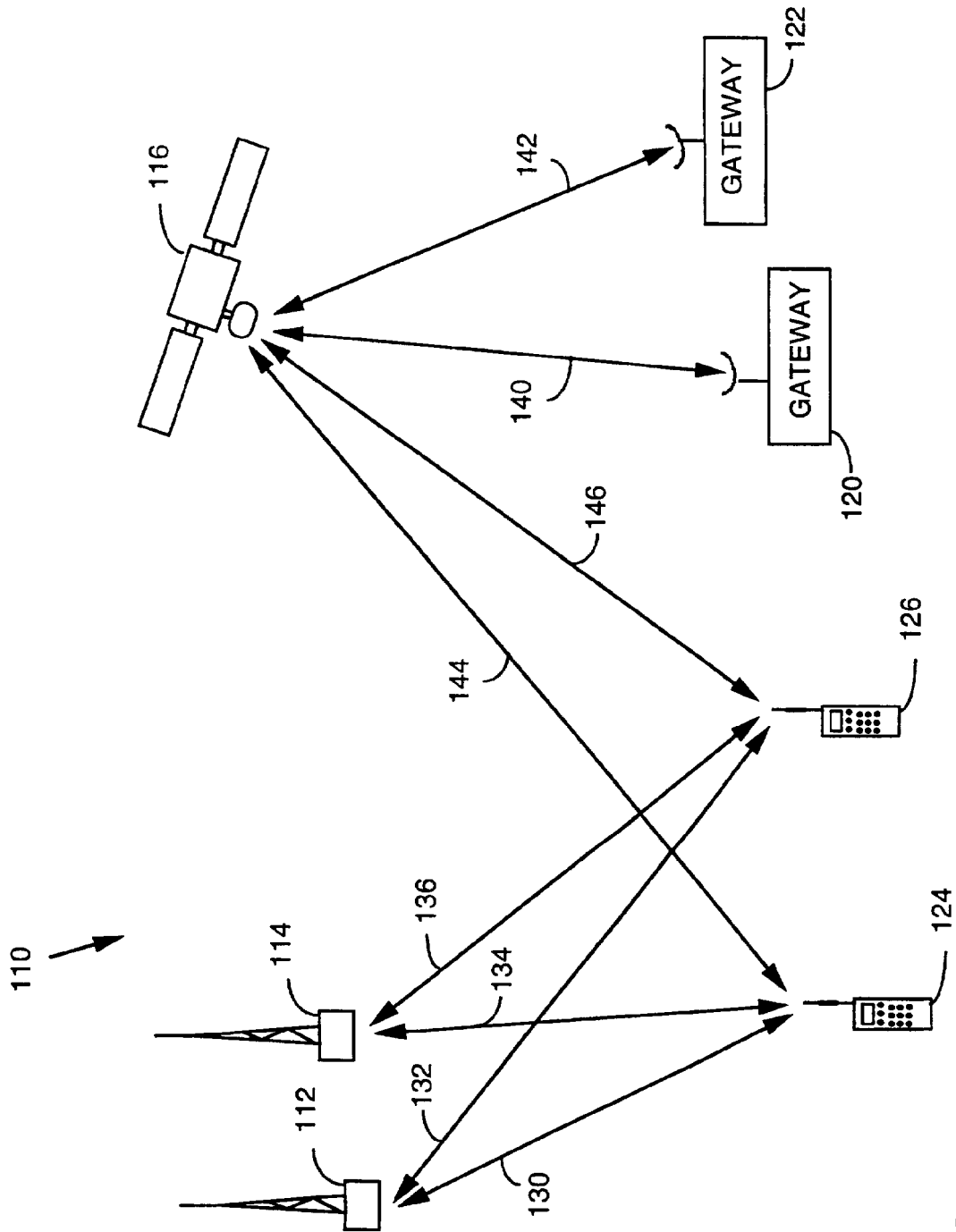


FIG. 1

2/8

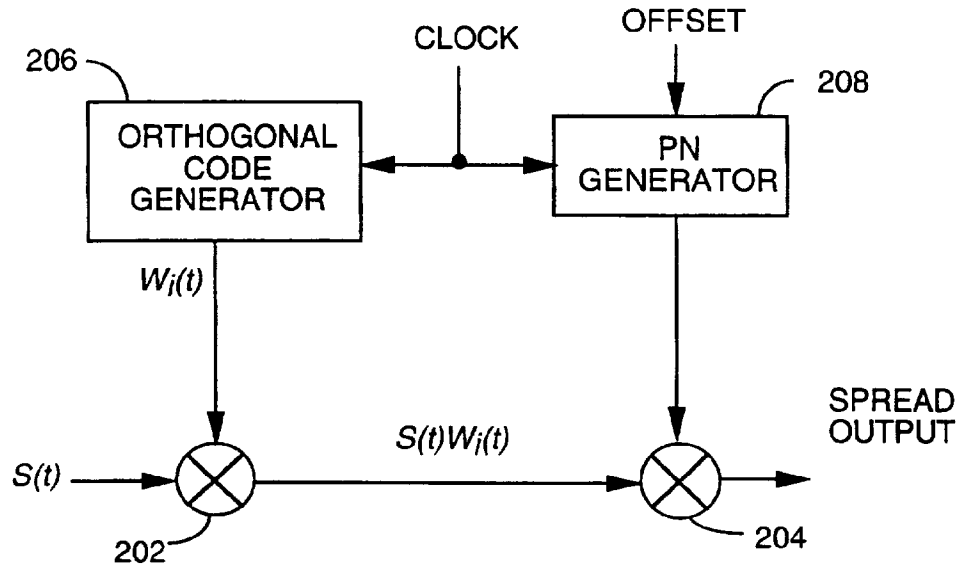
200

FIG. 2a

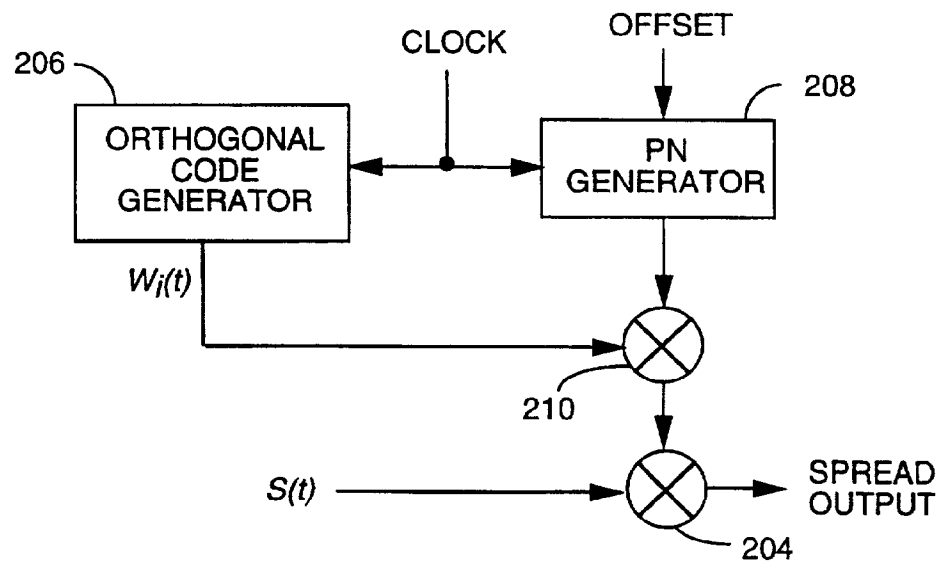
201

FIG. 2b

3/8

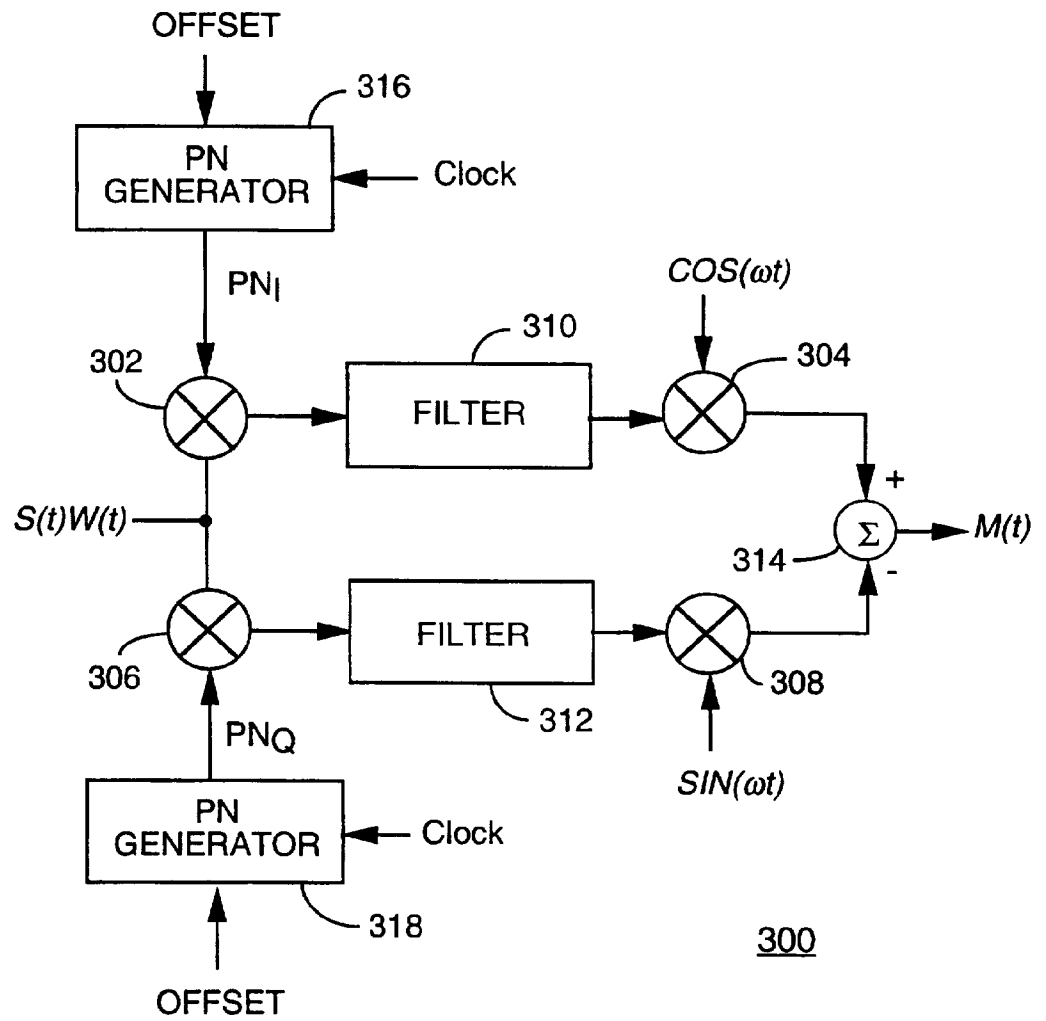


FIG. 3

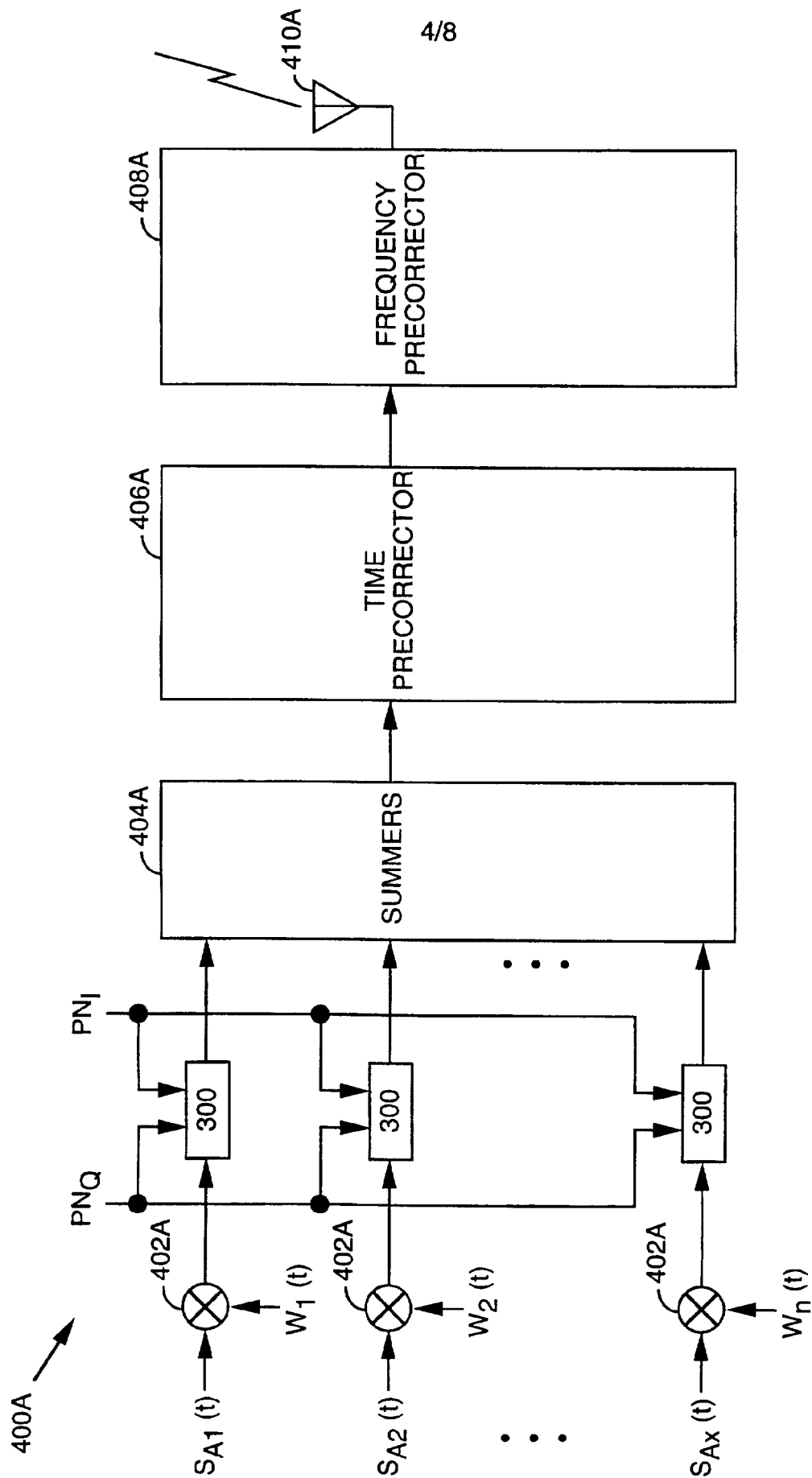


FIG. 4A

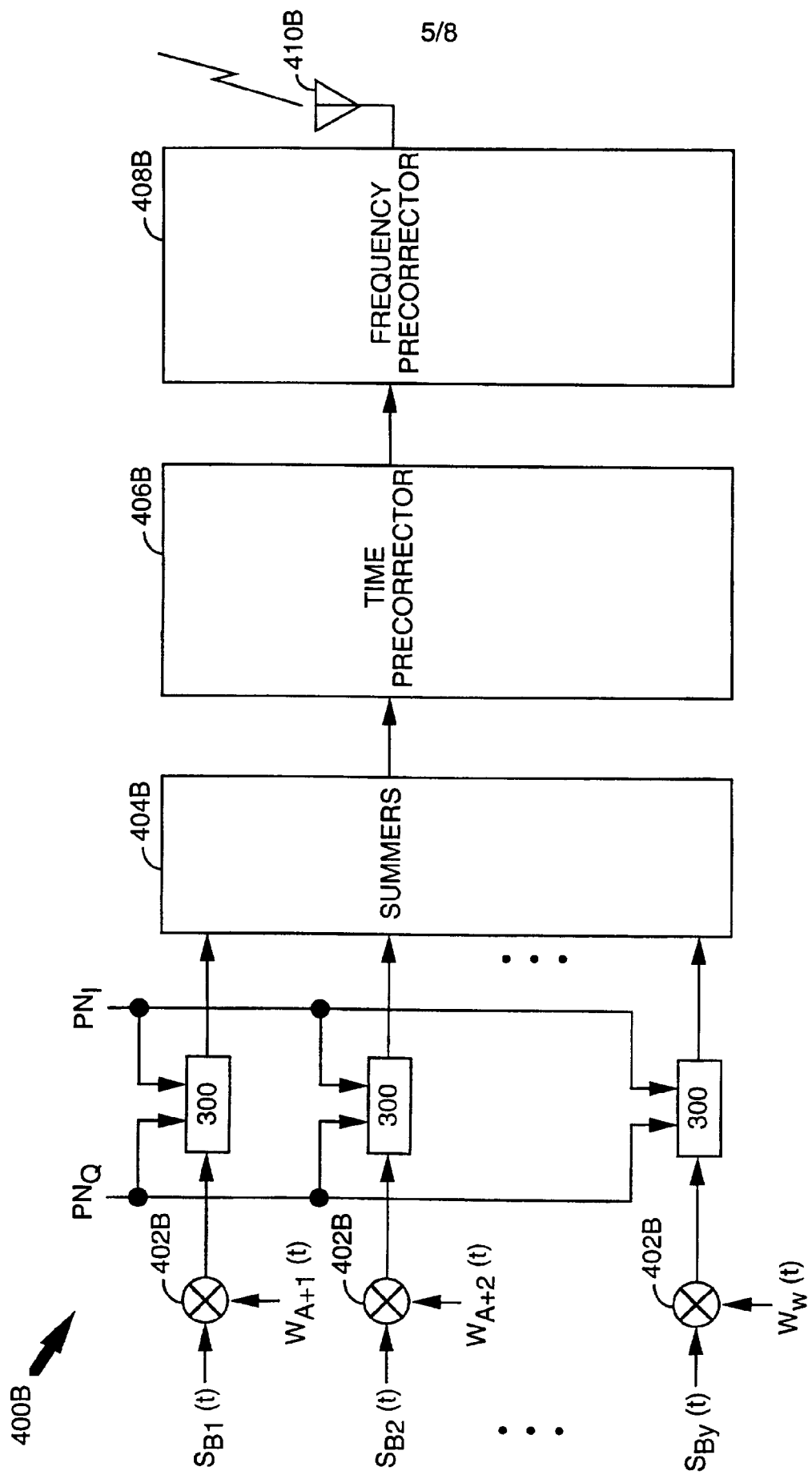


FIG. 4B

6/8

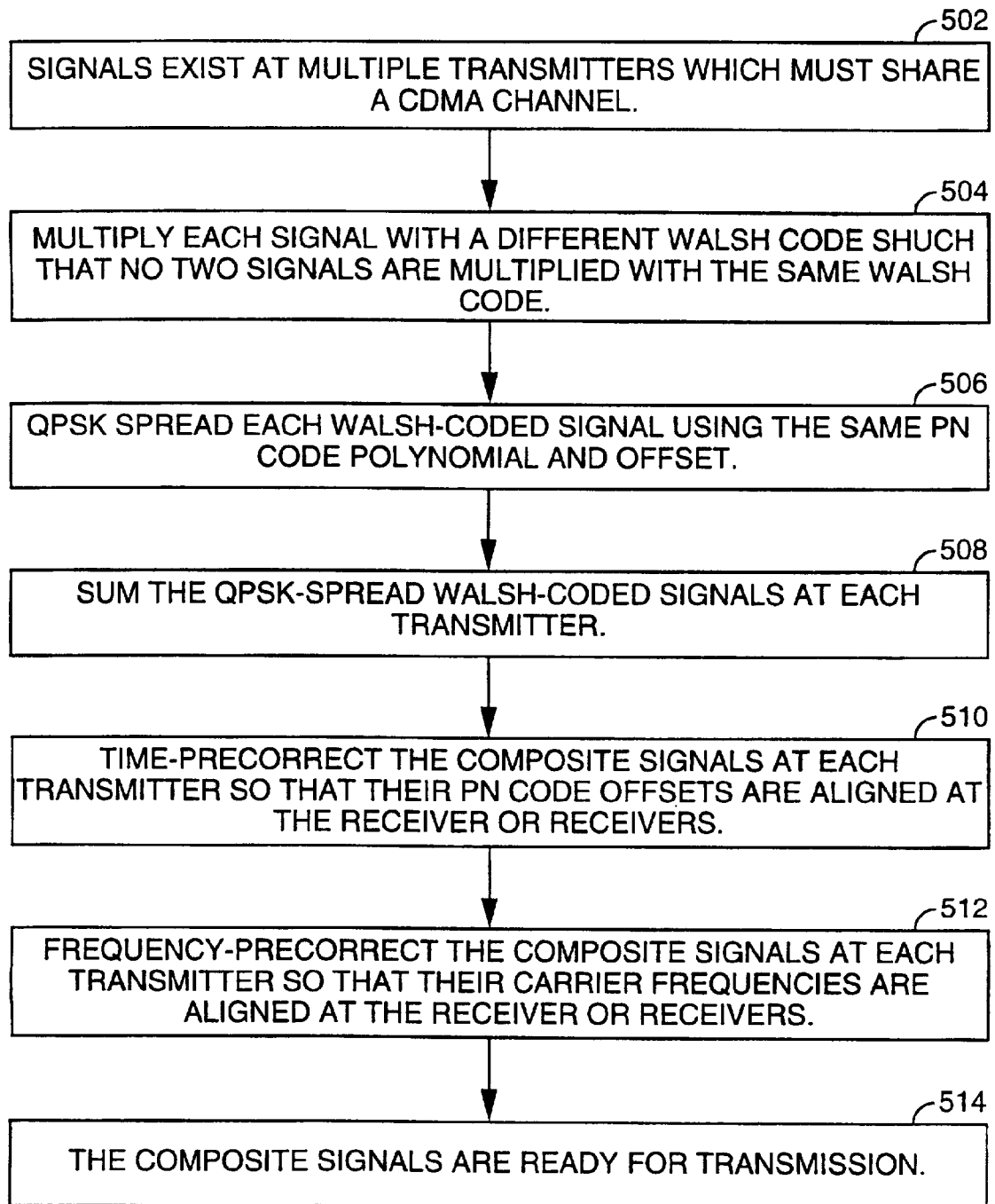


FIG. 5



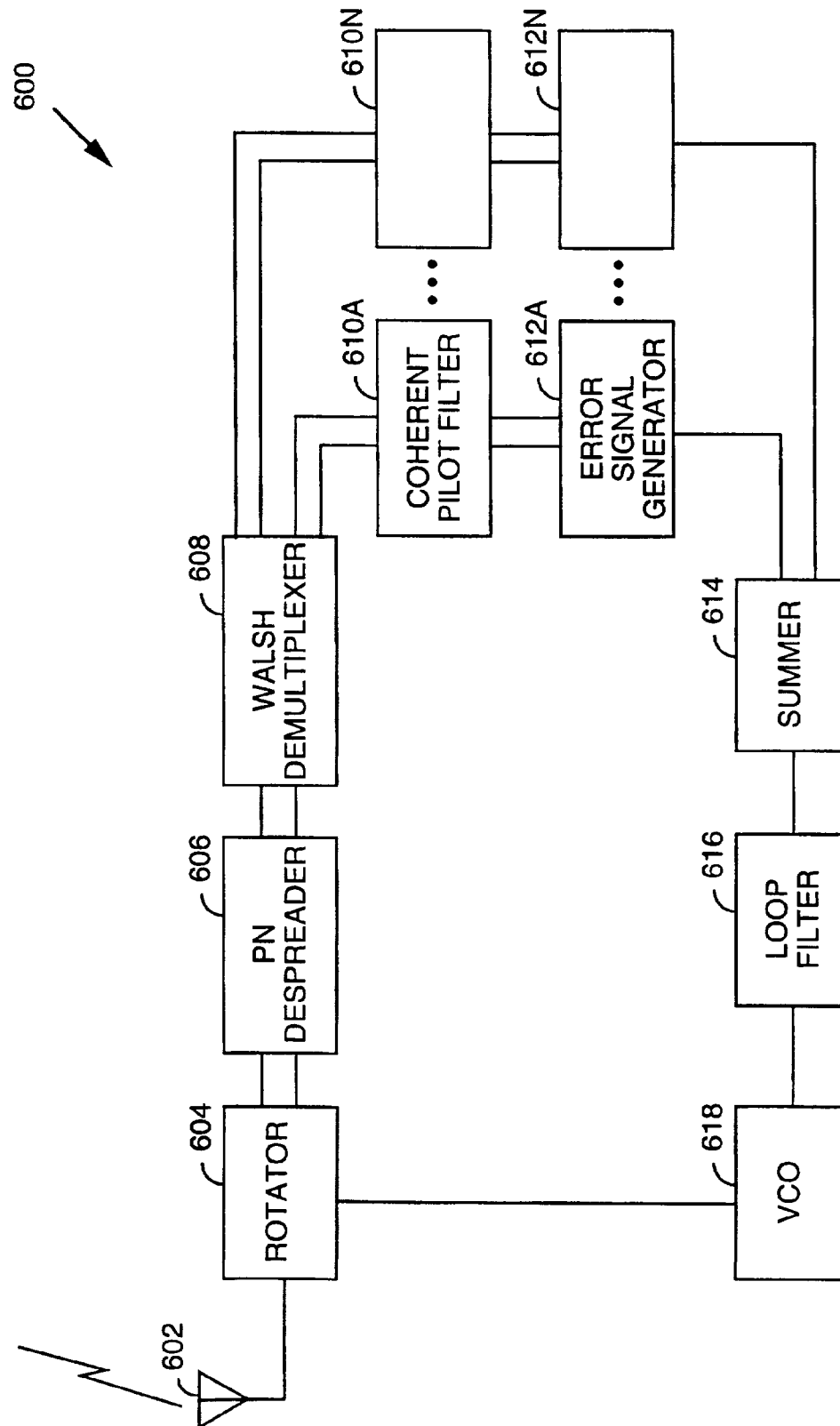


FIG. 6

8/8

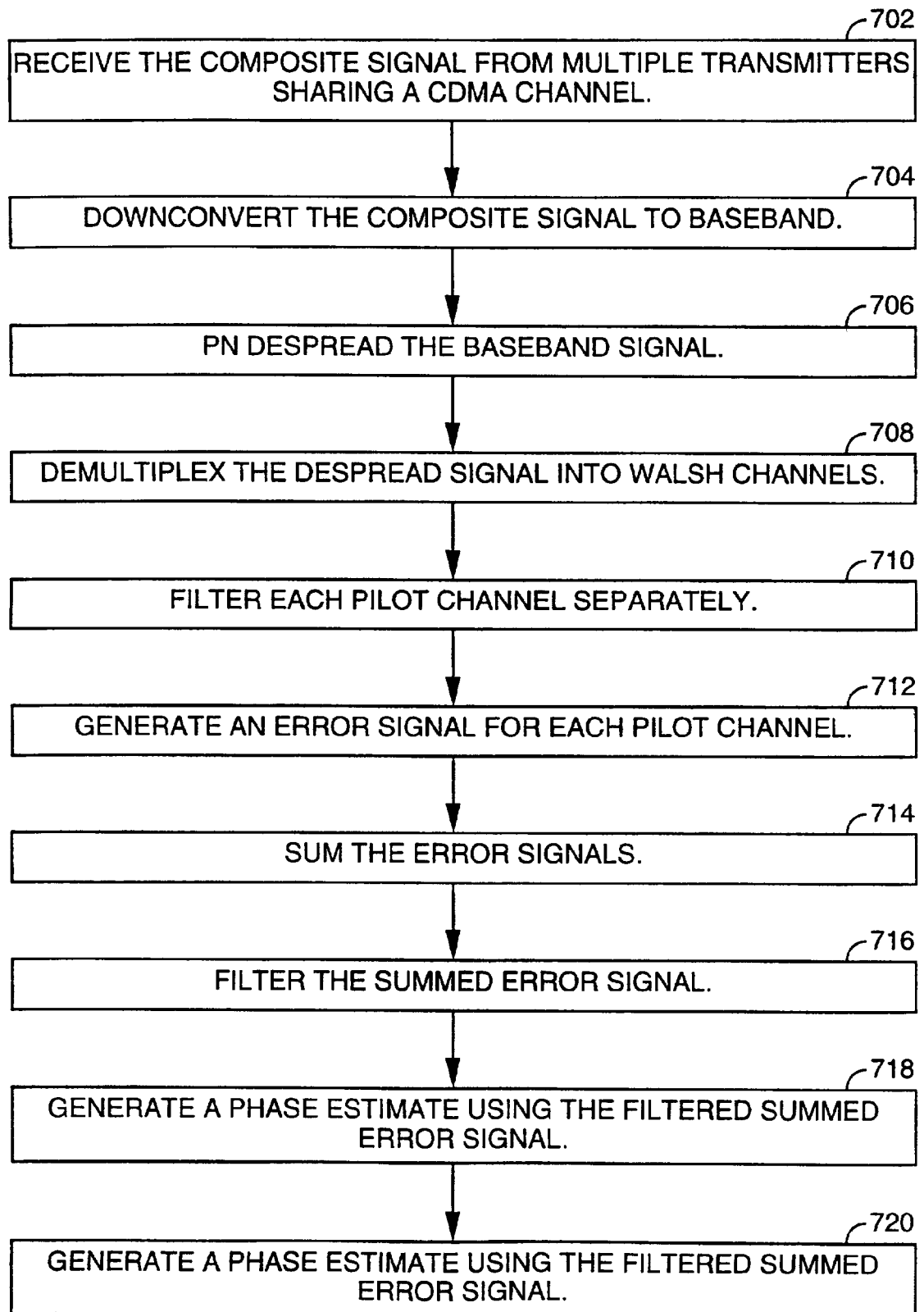


FIG. 7



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : <b>H04J 11/00, H04B 7/216, 7/26</b></p>	<b>A3</b>	<p>(11) International Publication Number: <b>WO 97/37456</b></p> <p>(43) International Publication Date: 9 October 1997 (09.10.97)</p>		
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>(21) International Application Number: PCT/US97/05356</p> <p>(22) International Filing Date: 1 April 1997 (01.04.97)</p> <p>(30) Priority Data: 627,831                      2 April 1996 (02.04.96)                      US</p> <p>(71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p> <p>(72) Inventors: AGRAWAL, Avneesh; Apartment 37, 10623 Dabney Drive, San Diego, CA 92126 (US). BUTLER, Brian, K.; 10727 Calston Way, San Diego, CA 92126 (US).</p> <p>(74) Agents: MILLER, Russell, B. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p> </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 24 December 1997 (24.12.97)</p> </td> </tr> </table>			<p>(21) International Application Number: PCT/US97/05356</p> <p>(22) International Filing Date: 1 April 1997 (01.04.97)</p> <p>(30) Priority Data: 627,831                      2 April 1996 (02.04.96)                      US</p> <p>(71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p> <p>(72) Inventors: AGRAWAL, Avneesh; Apartment 37, 10623 Dabney Drive, San Diego, CA 92126 (US). BUTLER, Brian, K.; 10727 Calston Way, San Diego, CA 92126 (US).</p> <p>(74) Agents: MILLER, Russell, B. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 24 December 1997 (24.12.97)</p>
<p>(21) International Application Number: PCT/US97/05356</p> <p>(22) International Filing Date: 1 April 1997 (01.04.97)</p> <p>(30) Priority Data: 627,831                      2 April 1996 (02.04.96)                      US</p> <p>(71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p> <p>(72) Inventors: AGRAWAL, Avneesh; Apartment 37, 10623 Dabney Drive, San Diego, CA 92126 (US). BUTLER, Brian, K.; 10727 Calston Way, San Diego, CA 92126 (US).</p> <p>(74) Agents: MILLER, Russell, B. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 24 December 1997 (24.12.97)</p>			
<p>(54) Title: USING ORTHOGONAL WAVEFORMS TO ENABLE MULTIPLE TRANSMITTERS TO SHARE A SINGLE CDM CHANNEL</p>				
<p>(57) Abstract</p> <p>A system and method for enabling multiple transmitters (400) to share a single code division multiplexed (CDM) or code division multiple access (CDMA) channel using orthogonal waveforms. A set of orthogonal channelizing codes <math>W_i(t)</math> is generated, and each transmitter (400) is allocated orthogonal channelizing codes and pseudonoise polynomials in a predetermined manner. The transmitters channelize each user signal using an orthogonal channelizing code <math>W_i(t)</math>, and spread each user signal using a pseudonoise (PN) spreading code. Each transmitter employs the same PN spreading codes and time offsets. Additionally, no one orthogonal channelizing code is assigned to more than one transmitter during the time period they are sharing a CDM channel. The spread signals are summed at each transmitter (404) prior to transmission as a composite signal. The offsets are time-precorrected (406, 510) to ensure time alignment at the receivers. The frequencies of the signals are precorrected (408, 512) to ensure frequency alignment at the receivers.</p>				

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/05356

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04J11/00 H04B7/216 H04B7/26

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04J H04B H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 15626 A (MOTOROLA INC) 8 June 1995	1-5, 9-14, 19-24,28
A	<p>see page 1, line 15 - page 2, line 12</p> <p>see page 2, line 32 - page 3, line 7</p> <p>see page 4, line 10 - line 31</p> <p>see page 6, line 17 - line 26</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	6,7,15, 16,25, 26,29

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

24 October 1997

Date of mailing of the international search report

11.11.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040; Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Pieper, T

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/05356

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 491 668 A (ERICSSON GE MOBILE COMMUNICAT) 24 June 1992  see column 5, line 40 - column 6, line 8 see column 14, line 23 - line 30 see column 17, line 23 - line 36	1-5, 9-14, 19-24,28
A	see column 18, line 30 - line 48	6,7,15, 16,25, 26,29
X	--- WO 96 05669 A (UNISYS CORP) 22 February 1996  see page 4, line 28 - page 6, line 4 see page 13, line 30 - page 14, line 18	1-5, 10-14, 20-24
A	see page 23, line 17 - line 27	6,7,15, 16,25, 26,28
A	--- WO 92 00639 A (QUALCOMM INC) 9 January 1992 see page 9, line 15 - line 25 see page 13, line 32 - page 14, line 15 see page 15, line 19 - line 27 see page 16, line 4 - line 12 see page 17, line 32 - page 18, line 9 see page 19, line 20 - page 20, line 5	1-29
P,A	--- WO 97 03503 A (STANFORD TELECOMM INC) 30 January 1997  see page 1, paragraph 3 - page 2, paragraph 2 see page 3, paragraph 3 see page 4, last paragraph - page 5, last paragraph see page 9, paragraph 1 - paragraph 3	1-6, 8-15, 19-25,28
A	--- HOLDEN T P ET AL: "A SPREAD-SPECTRUM BASED SYNCHRONIZATION TECHNIQUE FOR DIGITAL BROADCAST SYSTEMS" IEEE TRANSACTIONS ON BROADCASTING, vol. 36, no. 3, 1 September 1990, pages 185-194, XP000161660 see abstract see page 186, left-hand column, last paragraph - page 187, right-hand column, paragraph 2 see page 188, left-hand column, paragraph 1; figures 2-6	35-37
	--- -/--	

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/05356

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>SADAYUKI ABETA ET AL: "DS/SDMA COHERENT DETECTION SYSTEM WITH A SUPPRESSED PILOT CHANNEL"</p> <p>PROCEEDINGS OF THE GLOBAL TELECOMMUNICATIONS CONFERENCE (GLOBECOM), SAN FRANCISCO, NOV. 28 - DEC. 2, 1994, vol. 3 OF 3, 28 November 1994, INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS, pages 1622-1626, XP000488802</p> <p>see page 1622, right-hand column, last paragraph - page 1623, left-hand column, paragraph 1</p> <p>see page 1623, right-hand column, paragraph 3 - page 1624, left-hand column, paragraph 1; figures 1,2</p> <p>-----</p>	35-37

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 97/05356

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. Claims 1-34: Alignment in an orthogonal CDM transmission system
2. Claims 35-37: Orthogonal CDM transmission system using at least two pilot signals for frequency tracking

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/05356

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9515626 A	08-06-95	US 5446727 A	29-08-95
		BR 9405751 A	05-12-95
		CN 1116889 A	14-02-96
		EP 0695484 A	07-02-96
		FI 953407 A	12-07-95
		GB 2290200 A	13-12-95
		SE 9502704 A	29-09-95
-----			
EP 0491668 A	24-06-92	US 5151919 A	29-09-92
		AU 645646 B	20-01-94
		AU 9136691 A	22-07-92
		CA 2076006 A	18-06-92
		MX 9102562 A	01-06-92
		WO 9211716 A	09-07-92
		US 5218619 A	08-06-93
-----			
WO 9605669 A	22-02-96	US 5499236 A	12-03-96
		AU 3327695 A	07-03-96
		CA 2197757 A	22-02-96
		EP 0776556 A	04-06-97
		US 5583853 A	10-12-96
-----			
WO 9200639 A	09-01-92	US 5103459 A	07-04-92
		AU 652956 B	15-09-94
		AU 8401691 A	23-01-92
		BG 97222 A	27-05-94
		CA 2085890 A	26-12-91
		CN 1061312 A	20-05-92
		EP 0536334 A	14-04-93
		HU 64657 A	28-01-94
		IL 98598 A	27-02-94
		JP 6501349 T	10-02-94
		MX 173818 B	29-03-94
		SK 387192 A	10-08-94
		US 5511073 A	23-04-96
		US 5504773 A	02-04-96
		US 5659569 A	19-08-97
		US 5535239 A	09-07-96
		US 5629955 A	13-05-97
		US 5568483 A	22-10-96

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/05356

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9200639 A		US 5416797 A	16-05-95
		US 5309474 A	03-05-94
-----			
WO 9703503 A	30-01-97	AU 3092495 A	10-02-97
-----			